

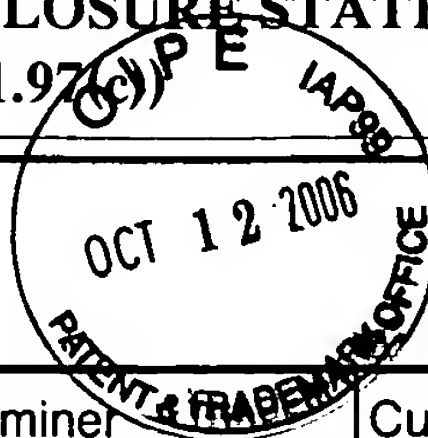
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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				<b>Docket No.</b> KKH.039D2	
In Re Application Of: Shinji Ohuchi et al.					
<b>Application No.</b> 10/657,139	<b>Filing Date</b> September 9, 2003	<b>Examiner</b> D. Nguyen	<b>Customer No.</b> 20987	<b>Group Art Unit</b> 2814	<b>Confirmation No.</b> 1910
<b>Title: SEMICONDUCTOR DEVICE INCLUDING SEMICONDUCTOR ELEMENTS MOUNTED ON BASE PLATE</b>					
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
KKH.039D2

In Re Application of: Shinji Ohuchi et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/657,139	September 9, 2003	D. Nguyen	20987	2814	1910

Title: SEMICONDUCTOR DEVICE INCLUDING SEMICONDUCTOR ELEMENTS MOUNTED ON BASE PLATE

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: October 12, 2006

ANDREW J. TELESZ, JR.  
REG. NO. 33,581

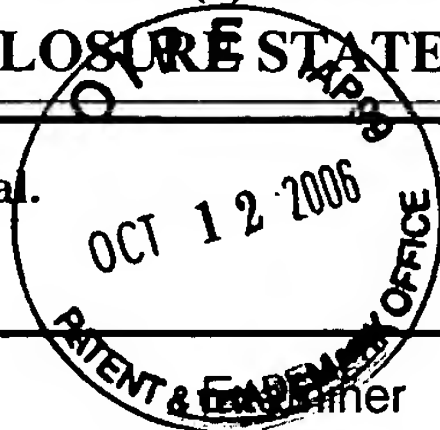
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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
KKH.039D2

In Re Application Of: Shinji Ohuchi et al.



Application No.

10/657,139

Filing Date

September 9, 2003

Examiner

D. Nguyen

Customer No.

20987

Group Art Unit

2814

Confirmation No.

1910

Invention: SEMICONDUCTOR DEVICE INCLUDING SEMICONDUCTOR ELEMENTS MOUNTED ON  
BASE PLATE

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Dated: October 12, 2006

ANDREW J. TELESZ, JR.  
REG. NO. 33,581

VOLENTINE FRANCOS & WHITT, P.L.L.C.  
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